

**NOTICE:** This report is **mandatory** under Public Law 93-275. Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For the sanctions and the provisions concerning the confidentiality of information submitted on this form, see General Information portion of the instructions. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.**

**RESPONSE DUE:** Submit a Schedule 1 as an Emergency Alert report within 1 hour if for incidents 1-8 below. All other initial reports are due within 6 hours of the incident. Submit updates as needed and a final report (Schedules 1 and 2) within 48 hours.

**SCHEDULE 1 -- ALERT NOTICE** (page 1 of 3)

<p><b>Criteria for Filing</b> (Check all that apply)</p> <p>If any box 1-8 on the right is checked, this form must be filed within 1 hour of the incident; check Emergency Alert (for the Alert Status) on Line 1 below.</p> <p>If any box 9-12 on the right is checked AND none of the boxes 1-8 are checked, this form must be filed within 6 hours of the incident; check Normal Alert (for the Alert Status) on Line 1 below.</p> <p>If significant changes have occurred after filing the initial report, refile the form with the changes and check Update (for the Alert Status) on Line 1 below.</p> <p>48 hours after an incident occurs refile the form with the latest information and check Final (for the Alert Status) on Line 1 below.</p>	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Actual physical attack that causes major interruptions or impacts to critical infrastructure facilities or to operations</li> <li>2. <input type="checkbox"/> Actual cyber or communications attack that causes major interruptions of electrical system operations</li> <li>3. <input type="checkbox"/> Complete operational failure or shut-down of the transmission and/or distribution electrical system</li> <li>4. <input type="checkbox"/> Electrical System Separation (Islanding) where part or parts of a power grid remain(s) operational in an otherwise blacked out area or within the partial failure of an integrated electrical system</li> <li>5. <input type="checkbox"/> Uncontrolled loss of 300 Megawatts or more of firm system loads for more than 15 minutes from a single incident</li> <li>6. <input type="checkbox"/> Load shedding of 100 Megawatts or more implemented under emergency operational policy</li> <li>7. <input type="checkbox"/> System-wide voltage reductions of 3 percent or more</li> <li>8. <input type="checkbox"/> Public appeal to reduce the use of electricity for purposes of maintaining the continuity of the electric power system</li> <li>9. <input type="checkbox"/> Suspected physical attacks that could impact electric power system adequacy or reliability; or vandalism which target components of any security systems</li> <li>10. <input type="checkbox"/> Suspected cyber or communications attacks that could impact electric power system adequacy or vulnerability</li> <li>11. <input type="checkbox"/> Loss of electric service to more than 50,000 customers for 1 hour or more</li> <li>12. <input type="checkbox"/> Fuel supply emergencies that could impact electric power system adequacy or reliability</li> </ol>
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LINE NO.	ORGANIZATION FILING				
1.	Alert Status (check one)	Emergency Alert <input type="checkbox"/> 1 Hour	Normal Alert <input type="checkbox"/> 6 Hours	Update <input type="checkbox"/> As required	Final <input type="checkbox"/> 48 Hours
2.	Organization Name				
3.	Address of Principal Business Office				
<b>NAME OF OFFICIAL THAT NEEDS TO BE CONTACTED FOR FOLLOW-UP AND ANY ADDITIONAL INFORMATION</b>					
4.	Name				
5.	Title				
6.	Telephone Number	( )-( )-( )			
7.	FAX Number	( )-( )-( )			
8.	E-mail Address				
9.	Teleconference Number	( )-( )-( )	Passcode [            ]	Video [            ]	
<b>INCIDENT AND DISTURBANCE DATA</b>					
10.	Geographic Area(s) Affected				Unknown <input type="checkbox"/>
11.	Date/Time Incident Began (mm-dd-yy/hh:mm) using 24-hour clock	____ - ____ - ____ / ____ : ____ mo dd yy hh mm			
12.	Estimated Date/Time of Restoration (mm-dd-yy/ hh:mm) using 24-hour clock	____ - ____ - ____ / ____ : ____ mo dd yy hh mm			Unknown <input type="checkbox"/>
13.	Date/Time Incident Ended (mm-dd-yy/ hh:mm) using 24-hour clock	____ - ____ - ____ / ____ : ____ mo dd yy hh mm			
14.	Did the incident/disturbance originate in your system/area? (check one)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Unknown <input type="checkbox"/>	
15.	Estimate of Amount of Demand Involved (Megawatts)				Unknown <input type="checkbox"/>
16.	Estimate of Number of Customers Affected				Unknown <input type="checkbox"/>
17.	Internal Organizational Tracking Number (if applicable)				

**SCHEDULE 1 -- ALERT NOTICE** (page 2 of 3)

18. Type of Emergency Check all that apply	19. Cause of Incident Check if known or suspected	20. Actions Taken Check all that apply
Major Physical Attack [ ]	Complete Electrical System Failure [ ]	Shed Firm Load [ ]
Major Cyber Attack [ ]	Electrical System Separation – Islanding [ ]	Reduced Voltage [ ]
Major Transmission System Interruption [ ]	Inadequate Electric Resources to Serve Load [ ]	Made Public Appeals [ ]
Major Generation Inadequacy [ ]	Actual or Suspected Malicious/Intentional Physical [ ] Cyber/Computer/Telecom [ ] Vandalism [ ]	Implemented a Warning, Alert, or Contingency Plan [ ]
Major Distribution System Interruption [ ]	Transmission Equipment [ ]	Shed Interruptible Load [ ]
Other [ ]	Loss of Part or All of a High Voltage Substation or Switchyard (230 kV + for AC 200 kV+ for DC). [ ]	Repaired/Restored [ ]
	Weather or Natural Disaster [ ]	Other [ ]
	Operator Action(s) [ ]	
	Fuel Supply Deficiency (e.g., gas, oil, water)[ ]	
	Unknown Cause [ ]	
	Other [ ]	

The timely submission of Form OE-417 by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275), as amended. Failure to respond may result in a penalty of not more than \$2,750 per day for each civil violation, or a fine of not more than \$5,000 per day for each criminal violation. The government may bring a civil action to prohibit reporting violations, which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. **Title 18 U.S.C. 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.** A person is not required to respond to collection of information unless the form displays a valid OMB number. Data reported on Form OE-417 in Schedule 1, lines 4, 5, 6, 7, 8, and 9 are considered to be confidential. Schedule 2 is considered confidential. **All other data are not confidential.** (See form General Instructions for a full list of legal citations covering data collection authorization.)

**SCHEDULE 2. -- NARRATIVE DESCRIPTION** (page 3 of 3)

Provide a description of the event and actions taken to resolve it. Include as appropriate, the cause of the incident/disturbance, equipment damaged, critical infrastructures interrupted and effects on other systems. Be sure to identify: the name of any lost high voltage substations or switchyards, whether there was any electrical system separation (and if there were, what the islanding boundaries were), and the name of the generators and voltage lines that were lost (shown by capacity type and voltage size grouping). If necessary, copy and attach additional sheets. Equivalent documents, containing this information can be supplied to meet the requirement; these include the NERC Disturbance Report and the voluntary National Critical Infrastructure Protection System Form. Along with the filing of Schedule 2, a final (updated) Schedule 1 needs to be filed. Check the Final box on line 1 for Alert Status on Schedule 1 and submit this and the completed Schedule 2 no later than 48 hours after the event.

21. Narrative:

**Name of Generator(s) and  
Voltage Line(s) system  
reference (terminal points)**

(For these losses, please group by  
generator type and voltage size)

**Identify Name of Lost High  
Voltage Substation(s) and/or  
Switchyards**

(230 kV + for AC -- 200 kV+ for DC)

**Identify Electrical System  
Separation: Islanding  
Boundaries**

(DOE needs a basic  
description/understanding of the  
linked generating resources to load  
pockets.)